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The influence of resuscitation preferences on obstetrical management of periviable deliveries

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Abstract

Objective—Determine the relative influence of patient's resuscitation preferences on periviable delivery management.

Methods—Surveyed 295 obstetrician-gynecologists about managing periviable preterm premature rupture of membranes. Across 10 vignettes, we systematically varied gestational age; occupation; method of conception; and resuscitation preference. Physicians rated their likelihood (0-10) of proceeding with induction, steroids, and cesarean. Data were analyzed via conjoint analysis.

Results—205 physician responses were included. Median ratings for management decisions were: induction 1.89; steroids 5.00; cesarean for labor 3.89; cesarean for distress 4.11. Gestational age had the greatest influence on physician ratings across all decisions (importance values ranging from 72.6-86.6), followed by patient's resuscitation preference (range= 9.3-21.4).

Conclusion—Gestational age is weighted more heavily than patients' resuscitation preferences in obstetricians' decision-making for periviable delivery management. Misalignment of antenatal management with parental resuscitation preferences may adversely affect periviable outcomes. Interventions are needed to facilitate more patient-centered decision-making in periviable care.

Introduction

When periviable delivery occurs, families and physicians face the difficult challenge of making high-stakes, ethically complex 'end-of-life decisions' at the beginning of a child's

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Conflict of Interest

The authors report no relevant conflicts of interest.

life. Given the high rates of mortality and morbidity among periviable neonates,¹ pediatric and obstetrical professional organizations suggest that decision-making at the limits of viability should be preference-sensitive and subject to shared decision-making.^{2,3} This means that providers should make management decisions that take into account not only patients' clinical characteristics, but also parents' resuscitation preferences. Failing to do so risks undermining parents' autonomy and potentiating decisional regret.

Several studies have explored the attitudes and role of neonatologists in counseling families about resuscitation and extreme prematurity.⁴⁻⁸ However, obstetricians play an important and understudied role in counseling parents.^{3,9} Moreover, antenatal management decisions regarding steroid administration and mode of delivery have been shown to impact periviable outcomes.¹⁰⁻¹²

Nevertheless, little research has explored the factors that influence obstetrical management decision-making in the setting of periviability. Because a previous qualitative study reported that obstetricians felt that patients' resuscitation preferences were central to periviable delivery management decision-making,⁹ the primary aim of this study is to quantitatively determine the relative influence of parental resuscitation preferences on obstetrical decision-making for periviable deliveries. As a secondary aim, we evaluate the relative influence of a patients' clinical and sociodemographic characteristics on obstetrical decision-making.

Methods

Study Population

With approval from the Indiana University Institutional Review Board, we recruited a convenience sample of 295 practicing obstetricians from the exhibit hall of the American College of Obstetricians and Gynecologists 61st Annual Clinical Meeting in New Orleans, LA from May 5-8, 2013. General Obstetrician-Gynecologists (OB/GYN) and Maternal Fetal Medicine (MFM) specialists practicing in the United States were included. Physicians in 'Gyn-only' practice settings or Gyn subspecialties (Reproductive Endocrinology and Infertility, Uro-gynecology, or Gyn-Oncology) were excluded.

Study Design

Conjoint analysis is a regression-based analytic technique traditionally used in marketing studies to evaluate how product characteristics (i.e., "attributes") influence consumer decision-making, typically purchase decisions. Recently, these techniques have been applied to study patients' and physicians' health care preferences and decision-making.^{13,14} To do so, study participants are presented with multiple scenarios in which attributes of a medical situation and/or 'patient' are systematically varied. For 'ratings-based' conjoint analyses, participants are then asked to indicate their likelihood of pursuing a course of action (e.g. administering a vaccine) based on a given combination of attributes. This likelihood rating serves as the outcome of interest, and is modeled as a function of the case attributes using standard regression methods.¹⁵

For the purposes of this study, obstetricians received a survey containing 10 clinical case vignettes, along with a demographics questionnaire. Each vignette described a patient

presenting with preterm premature rupture of membranes (PPROM) at the threshold of viability with a fetus in breech presentation. Four selected patient characteristics, or attributes, were systematically varied across vignettes, with each attribute having 2 or 3 levels: 1. Gestational Age and Estimated Fetal Weight (levels: 22 1/7 & 494g vs 23 1/7 & 582g vs 24 1/7 & 676g); 2. Occupation (levels: corporate manager vs janitor); 3. Fertility History/Method of Conception (levels: IVF vs spontaneous conception); and 4. Patient Resuscitation Preference (levels: resuscitate vs comfort care vs undecided). Study participants were randomly assigned on a 1:1 basis to receive a set of vignettes in which all patients were described as White or a set in which all patients were described as Black.¹⁶ A full-factorial design, which presents every possible combination of patient characteristics, would have required each participant to read and respond to 36 separate scenarios. This would be too lengthy and repetitive for participants; therefore, we utilized a fractional factorial conjoint design. This design, generated based on the orthogonal design algorithms of Addelman,¹⁷ using commercially available software (SPSS ORTHOPLAN), was comprised of a representative subset of 9 case profiles. An additional ‘dummy’ case was also included for a total of 10 case vignettes. The instrument took 10-15 minutes to complete in its entirety. Respondents were entered in a raffle and received a gift card as compensation. Of the 295 returned surveys, 90 were excluded from the final analysis because the survey was incomplete or the respondent did not meet study inclusion criterion.

Vignette Development—The clinical case vignette was developed in consultation with a multidisciplinary team of experts (prominent OB/GYN physician researchers, neonatologists, and ethicists). The vignette's patient attributes were determined based on qualitative interviews with OB/GYN physicians regarding factors that influenced their counseling and management of periviability.⁹ Estimated fetal weights corresponding to the 50%ile were calculated for each GA of interest using the fetal weight estimation developed by Shepard et. al.¹⁸ An actual weight was provided in grams, as opposed to the percentile, because we suspected that some providers might utilize weight ‘cut-offs’, or thresholds, in decision-making independent from, or in addition to, GA. Occupations were selected based upon occupational prestige scores,¹⁹ occupational status,²⁰ and field-tested occupations subjectively identified as “working class” and “upper-middle class.”²¹ The vignette, attributes, and levels are described in detail in Appendix A.

Outcomes—After reading each vignette, participants were asked to provide likelihood ratings for 4 decisions. Using an 11-point scale from 0 (Definitely would not) to 10 (Definitely would), they reported their likelihoods of planning to 1) Offer induction 2) Order steroids 3) Perform cesarean section if labor progresses and 4) Perform cesarean section for signs of fetal distress. We included cesarean in labor and for fetal distress as separate outcomes because, while we suspected that the two responses would be consistent, we thought it useful to empirically test and quantify the relationship. While these 4 outcomes do not represent an exhaustive list of management considerations, they were identified as ‘preference-sensitive’ decisions because there is equivocal and/or insufficient data to dictate one ‘correct’ management strategy. Obstetricians have also described deferring to parents’ resuscitation preferences to guide these kinds of decisions.⁹

Data Analysis

Univariate statistics were utilized to describe the study population as well as provide summary statistics on obstetricians' likelihood ratings for each of the four decisions of interest (offer induction, order steroids, perform cesarean section for labor, perform cesarean section for distress). For each decision, differences in distributions of the likelihood ratings by vignette were tested using Friedman's test. As for the conjoint analysis, for each vignette, the 4 decision ratings were modeled as a function of the 4 case attributes using standard regression methods. We initially conducted stratified conjoint analyses for White and Black patient vignettes. Results did not vary by patient race in these stratified analyses; therefore, final analyses were conducted in aggregate. A full-profile, ratings-based conjoint analysis was used to evaluate the influence of patient attributes on obstetrical decision-making. This regression analysis breaks the overall likelihood rating (utility) into parts depending on the relative importance of each attribute's levels. These 'part-worth utilities,' which are simply beta weights from the regression model, measure how the obstetricians value a particular patient attribute in the context of their management decisions. For example, the likelihood rating for performing CD for distress attributed to GA would be broken into parts based on each of the levels—22, 23, 24 weeks—chosen for this attribute. Positive values are assigned to the levels of GA that are associated with a higher relative likelihood/preference for performing CD for distress. The part-worth utilities for each attribute sum to zero. In addition to part-worth utilities, importance values are also calculated from the regression model, which reflect the percent of total variability in utility accounted for by each attribute. The attributes with the largest part-worth utility ranges (highest – lowest) are the most important in determining preference. Modeling was performed using the SPSS v.21 Conjoint Module.

Results

Study Population

A total of 205 obstetricians were eligible for inclusion in the final analysis. They were 64.9% female, 92.2% Ob/Gyn Generalists and 4.4% were MFMs. See Table 1 for a complete description of the study participants.

Likelihood Ratings

Across vignettes, median ratings for each management decision were as follows: induction 1.89; steroids 5.00; cesarean for labor 3.89; cesarean for distress 4.11. For each management decision, the distributions of the likelihood ratings were significantly different across vignettes (p -value < 0.001 for each outcome). Clear and consistent patterns in likelihood ratings are noted for GA and resuscitation preferences (see Table 2). In order to highlight these patterns, we present both mean and median ratings in the table, as the means provide additional support of the interplay between GA and preference. Obstetricians were unlikely to offer induction to patients facing periviable PPRM (overall median=1.89). Obstetricians were most likely to offer induction at lower gestational ages, and at every GA, they were more likely to do so when patients preferred to pursue comfort care rather than resuscitation. However, even among 22 week scenarios with parents pursuing comfort measures, the median rating was only 3.00. Steroids were likely (median rating >5) to be ordered for all 24

week scenarios regardless of parental resuscitation preferences, but only for the 23 week scenarios in which resuscitation was desired were steroids more likely to be ordered than not to be ordered (median=6.00). In fact, at 22 weeks, participants were highly unlikely to order steroids regardless of parental preference (median=0.00). Overall, obstetricians were not likely to offer cesarean—neither in the setting of labor nor fetal distress—for breech periviable neonates (median=3.89 and 4.11, respectively). However, in 24 week scenarios, cesarean was likely to be offered for laboring breech neonates regardless of parental resuscitation preference (range=7.00-10.00); likewise in the case of fetal distress (range=8.00-10.00). Medians were noted to be polarized at the 22 and 24 week extremes. For example, among 24 week scenarios, obstetricians rated a high likelihood of ordering steroids and performing cesarean for labor and fetal distress with all median ratings exceeding 7 across vignettes. Conversely, at 22 weeks, they were highly unlikely to perform these interventions (all medians=0.00). Occupation and fertility history did not show consistent trends in the manner that GA and parental preference did. Moreover, practice patterns did not vary by patient race.

Conjoint analysis results

Table 3 depicts the summary importance rankings. For steroids administration and mode of delivery, GA was the most important factor driving decision-making, followed by resuscitation preference, fertility history, and occupation. For induction, GA and patient preference were also of greatest importance; however, this was followed by occupation then fertility history. Across the four management decisions, importance values for GA ranged from 72.6-86.6. Interestingly, patient resuscitation preference importance values were close to 20 for induction, and mode of delivery, but were only 9.3 for steroids, suggesting that steroid administration was not as sensitive to resuscitation preferences as the other categories. Figure 1 shows the part-worth utility estimates across attributes for each outcome. The strongest physicians preferences (largest utilities) were observed for ordering steroids in 24 week patients compared to 22 and 23 week patients (utility=4.09) and performing cesarean section for labor and distress in the 24 week patient (utilities=3.14 and 3.53, respectively).

Discussion

An obstetrician's 'willingness to intervene' has been shown to impact neonatal outcomes for extremely low birth-weight and periviable neonates.^{11,12} Therefore, it is important to understand the factors that influence obstetrical management decision-making. To that end, we set out to examine obstetrical decision-making for periviable delivery management, and specifically, to quantify the degree to which obstetrical decision-making is influenced by parental resuscitation preferences. We found that GA was the primary driver of obstetrical decision-making, with parental resuscitation preference playing a secondary role. Patient sociodemographic characteristics played a relatively small role.

These findings are somewhat inconsistent with results of previous qualitative work. Obstetricians have previously reported that their decision-making was primarily influenced by patients' resuscitation preferences—even describing a 'do-everything default' attributed to

the perception that every patient wanted ‘everything done.’⁹ However, in this study we found that, when explicitly provided with a patient’s resuscitation preference, patient preference was, in fact, not the primary driver of decision-making. One explanation for this discrepancy may lie in institutional norms or policies that utilize GA ‘cut-offs’ to dictate plans of care. It is noteworthy, however, that patient preference played an important, if secondary, role. This confirms the notion that obstetrical decision-making is ‘preference sensitive’ in this setting. We also noted that patient preference played a lesser role in steroid decision-making, which may suggest that obstetricians perceive a stronger evidence-base to guide steroid administration, making it less sensitive to parental preference.

Our study has several limitations. First, as a convenience sample of providers, these results cannot be generalized to all obstetricians. Furthermore, because the obstetricians were in attendance at the Annual Clinical Meeting, obstetrical generalists and community practitioners may be overrepresented. It is important to examine the practices patterns of a community-based sample of obstetricians given that the majority of providers practicing on the ‘front-lines’ and making consultation and transfer decisions are generalists. However, because periviable deliveries occur infrequently, many community-based obstetricians may rarely see these patients, and thus, lack the experience or the facility support to manage these deliveries without consultation or transfer. Therefore, one could argue for future studies that focus more narrowly on generalists and MFMs practicing at academic centers or settings with level III NICUs, as these providers are likely to have the greatest experience with and more direct impact upon periviable outcomes and care. In addition, this study focused on a selected subset of patient characteristics. Future studies should examine parity, maternal age, social support, and pregnancy intendedness or desiredness. Finally, we found that inductions are not readily offered in the periviable period—even among patients desiring comfort care. However, we failed to ascertain whether providers practiced in institutions that prohibited induction of labor at these gestational ages. Institutional policies on induction should be considered in any further efforts to understand physician-practice patterns.

In closing, our findings raise several important questions. To what extent *should* obstetrical decision-making be sensitive to patients’ preferences? Should patients be given options at 22 weeks; should they have no options after 24? Should we be concerned that inductions are not being offered at 22 weeks to patients desiring comfort care? These are challenging questions, given that long-term neurodevelopmental outcomes are not dramatically improved as gestational age increases from 22 weeks to 24 weeks.^{1,22} Despite obstetricians’ apparent reliance on GA to guide clinical practice, periviable outcomes are sufficiently poor that one could argue that parental preference should be of *primary* importance. The American Academy of Pediatrics (AAP) offers the following guidance to the pediatric community:

“When a good outcome is considered very unlikely, the parents should be given the choice of whether resuscitation should be initiated, and clinicians should respect their preference. . . .” (Batton. Peds, 2009).²³

To that end, a recent executive summary of a joint pediatric and obstetrical workshop also emphasizes the importance of patient-centered counseling in periviable care for obstetricians as well as neonatologists.³ If obstetricians and neonatologists attend to parental preference

differentially,⁸ with obstetricians attending more staunchly to GA thresholds, we potentially face a discordance in management planning across specialties—e.g. 23 week neonates are being resuscitated having not received steroids and/or 24 week neonates being delivered by cesarean when parents desire comfort measures. To optimize periviable care, obstetricians and neonatologists alike must be attentive to both the likelihood of a ‘good outcome’ and the parents’ valuation of what a ‘good outcome’ entails. To this end, clarifying issues such as parents’ resuscitation preferences; their thresholds for disability; or their understanding of suffering, is as critical as prognosticating survival.²⁴ This type of values and/or attitudes elicitation would help to align management plans with parental preferences and allow for more coordinated multidisciplinary care. Moving forward, interventions are needed to facilitate values elicitation, promote shared decision-making, and ensure patient-centered periviable care.

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Appendix A

Vignette

The patient is a 32 year old **{Black OR White}** G1P0 now at **[GA+]** who presents to L&D with confirmed PPROM. Her medical, surgical, and family histories are negative. She denies tobacco, alcohol or drug use, and she **[Occupation]**. This pregnancy was the result of **[Fertility History]**. The pregnancy has been uncomplicated. Her prenatal labs, quad screen, and anatomy scan were all normal. She is not contracting or dilated. Her exam is negative for vaginal bleeding and shows no signs of infection. Fetal status is reassuring. Today's ultrasound reveals a female fetus in breech presentation with an EFW of **[+EFW]** and an AFI of 5.1. The patient has been counseled by the NICU **[resuscitation preference]**.

Attributes and Levels:

Attribute	# of Levels	Levels
Gestational Age (GA) + 50%ile Estimated Fetal Weight (EFW)	3	<ul style="list-style-type: none"> • 22+1/7 weeks gestational age, 494g • 23+1/7 weeks gestational age, 582g • 24+1/7 weeks gestational age, 676g
Occupation	2	<ul style="list-style-type: none"> • works in corporate marketing and sales as a manager • works in commercial cleaning as a janitor
Fertility history/Method of conception	2	<ul style="list-style-type: none"> • a planned, spontaneous conception. She is dated by a 9 wk scan consistent with her LMP. • an IVF conception. She is dated by her Day 3 transfer date.
Preference/Plan of care	3	<ul style="list-style-type: none"> • and is planning to pursue resuscitation of the neonate • and is planning to pursue comfort measures only for the neonate • but remains overwhelmed & uncertain about whether to resuscitate the neonate

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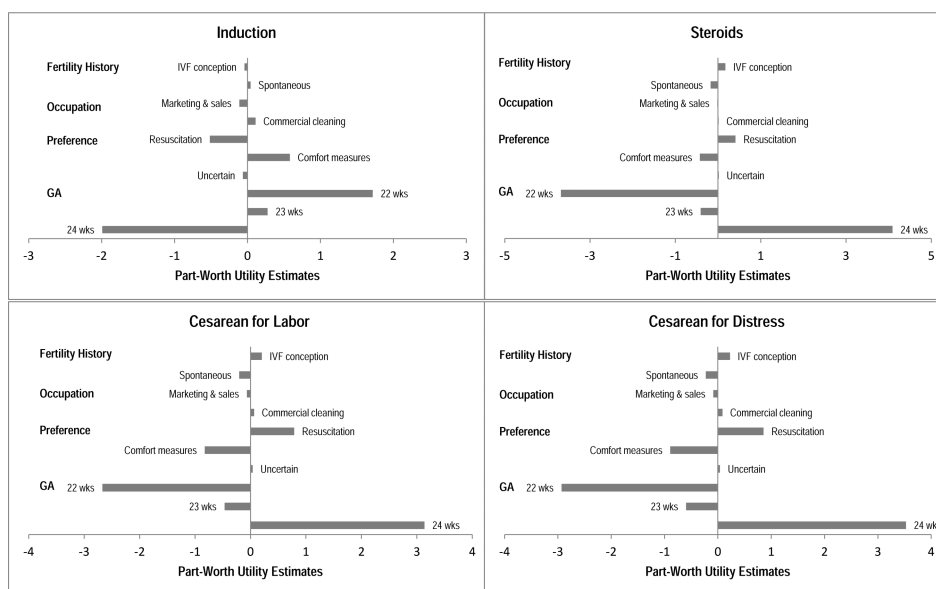


Figure 1. Part-worth utility estimates across attributes are presented, stratified by management decision. Part-worth utilities represent the relative weights given to each level of an attribute. Positive values are assigned to the levels with the greatest preference. The part-worth utilities for each attribute sum to zero.

Table 1

Provider characteristics (N=205)

Provider Characteristic	N(%)
Age	44 (mean); 27-76 (range)
# of Years Since Residency	12.5 (mean); 0-48 (range)
# of Periviable Deliveries (last 6 mos.)	6 (mean); 0-100 (range)
Specialty	
OB/GYN Generalist	189 (92.2)
Maternal Fetal Medicine (MFM)	9 (4.4)
Other	3 (1.5)
Missing	4 (2.0)
Sex	
Male	70 (34.1)
Female	133 (64.9)
Missing	2 (1.0)
Race/Ethnicity	
White	110 (53.7)
Black	54 (26.3)
Asian	25 (12.2)
Other	12 (5.9)
Missing	4 (2.0)
Practice Region	
Northeast	61 (29.8)
Southeast	51 (24.9)
Midwest	37 (18.0)
West	32 (15.6)
Southwest	16 (7.8)
Missing	8 (3.9)
Practice Setting	
Private Practice	72 (35.1)
Health Maintenance Organization	9 (4.4)
Hospital-owned Practice	56 (27.3)
University-based	49 (23.9)
Other	12 (5.9)
Missing	7 (3.4)
Supervise Residents	
Yes	114 (55.6)
No	87 (42.4)

Provider Characteristic	N(%)
Missing	4 (2.0)

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Table 2Decision ratings for each vignette^a (0=Definitely would not; 10=Definitely would)

Offer Induction	Mean	Median (IQR)	Vignette	GA	Resuscitation Preference	Occupation	Conception
Most likely	4.4	3.0 (0, 10.0)	2	22+1	comfort	janitor	IVF
	3.8	1.0 (0, 9.0)	5	22+1	uncertain	marketing	planned
	3.5	1.0 (0, 8.0)	9	22+1	resuscitation	marketing	planned
	3.3	1.0 (0, 7.5)	1	23+1	comfort	marketing	planned
	2.9	1.0 (0, 5.0)	7	23+1	uncertain	janitor	planned
	2.3	0.0 (0, 3.0)	3	23+1	resuscitation	marketing	IVF
	1.5	0.0 (0, 1.0)	4	24+1	comfort	marketing	planned
	1.1	0.0 (0, 1.0)	6	24+1	uncertain	marketing	IVF
Least likely	0.9	0.0 (0, 1.0)	8	24+1	resuscitation	janitor	planned

Order Steroids	Mean	Median (IQR)	Vignette	GA	Resuscitation Preference	Occupation	Conception
Most likely	9.6	10.0 (10.0, 10.0)	8	24+1	resuscitation	janitor	planned
	9.5	10.0 (10.0, 10.0)	6	24+1	uncertain	marketing	IVF
	8.8	10.0 (9.0, 10.0)	4	24+1	comfort	marketing	planned
	5.6	6.0 (1.0, 10.0)	3	23+1	resuscitation	marketing	IVF
	4.8	5.0 (0, 10.0)	7	23+1	uncertain	janitor	planned
	4.4	3.0 (0, 9.0)	1	23+1	comfort	marketing	planned
	2.0	0.0 (0, 2.5)	9	22+1	resuscitation	marketing	planned
	1.7	0.0 (0, 1.0)	5	22+1	uncertain	marketing	planned
Least likely	1.6	0.0 (0, 1.0)	2	22+1	comfort	janitor	IVF

CD for Labor	Mean	Median (IQR)	Vignette	GA	Resuscitation Preference	Occupation	Conception
Most likely	7.9	10.0 (6.5, 10.0)	8	24+1	resuscitation	janitor	planned
	7.3	9.0 (5.0, 10.0)	6	24+1	uncertain	marketing	IVF
	6.0	7.0 (2.0, 10.0)	4	24+1	comfort	marketing	planned
	4.6	4.0 (0, 8.0)	3	23+1	resuscitation	marketing	IVF
	3.4	2.0 (0, 5.5)	7	23+1	uncertain	janitor	planned
	2.7	1.0 (0, 5.0)	1	23+1	comfort	marketing	planned
	1.9	0.0 (0, 3.0)	9	22+1	resuscitation	marketing	planned
	1.4	0.0 (0, 1.0)	5	22+1	uncertain	marketing	planned
Least likely	1.0	0.0 (0, 1.0)	2	22+1	comfort	janitor	IVF

CD for Distress	Mean	Median (IQR)	Vignette	GA	Resuscitation Preference	Occupation	Conception
Most likely	8.8	10.0 (9.0, 10.0)	8	24+1	resuscitation	janitor	planned
	8.2	10.0 (7.0, 10.0)	6	24+1	uncertain	marketing	IVF
	6.7	8.0 (4.0, 10.0)	4	24+1	comfort	marketing	planned
	4.9	5.0 (1.0, 10.0)	3	23+1	resuscitation	marketing	IVF
	3.7	3.0 (0, 6.0)	7	23+1	uncertain	janitor	planned

CD for Distress	Mean	Median (IQR)	Vignette	GA	Resuscitation Preference	Occupation	Conception
	2.8	1.0 (0, 5.0)	1	23+1	comfort	marketing	planned
	2.0	0.0 (0, 3.0)	9	22+1	resuscitation	marketing	planned
	1.4	0.0 (0, 1.0)	5	22+1	uncertain	marketing	planned
Least Likely	1.0	0.0 (0, 1.0)	2	22+1	comfort	janitor	IVF

^aDistributions of ratings were significantly different across all decisions (all $p < .001$ based on Friedman's test).

Table 3

Importance values for each management decision (N=205)

INDUCTION	
<i>Attribute</i>	<i>Importance Value</i>
GA	72.55
Preference	21.44
Occupation	4.35
Fertility History	1.66

STERIODS	
<i>Attribute</i>	<i>Importance Value</i>
GA	86.55
Preference	9.28
Fertility History	3.84
Occupation	0.33

CESAREAN FOR LABOR	
<i>Attribute</i>	<i>Importance Value</i>
GA	72.96
Preference	20.27
Fertility History	5.13
Occupation	1.64

CESAREAN FOR DISTRESS	
<i>Attribute</i>	<i>Importance Value</i>
GA	73.15
Preference	19.81
Fertility History	5.12
Occupation	1.93